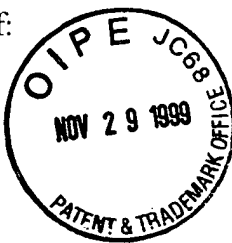


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Naoto Jikutani et al.

Serial No.: 09/391,472

Filed: September 8, 1999



Group Art Unit: 2811

For: LASER DIODE HAVING AN  
ACTIVE LAYER CONTAINING N  
AND OPERABLE IN A 0.6UM  
WAVELENGTH BAND

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Dear Sir:


Enclosed for filing in connection with the above-referenced United States Patent Application are the following:

1. Transmittal Letter;
2. PTO Form 1533;
3. Declaration and Power of Attorney;
4. Recordation Assignment;
5. Check in the amount of \$170.00;
6. Return receipt post card.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account 04-1073. A duplicate copy of this letter is enclosed.

Dated: November 29, 1999

Respectfully submitted,

By 

Mark J. Thronson

Registration No.: 33,082

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Washington, DC 20037-1526

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Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/391,472	09/08/99	JIKUTANI	N R2184.0052/P

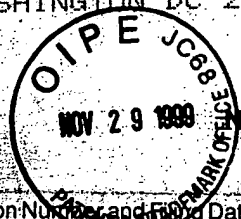
0232/0929

NOT ASSIGNED

MARK J THRONSON  
DICKSTEIN SHAPIRO MORIN & DSHINSKY LLP  
2101 L STREET N W  
WASHINGTON DC 20037-1526

2811

DATE MAILED: 09/29/99



**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS** FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing  
☐ insufficient

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*W. Meredith*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

11/30/1999 MHARDL 00000082 09391472 130.00 DP  
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